#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 7770

Yoichi KOBAYASHI et al.

Mail Stop: PCT

Serial No. 10/568,085

Attorney Docket No. 2006 0139A

Filed February 13, 2006

POLISHED STATE MONITORING APPARATUS AND POLISHING APPARATUS USING THE SAME [Corresponding to PCT/JP2004/008787 Filed February 13, 2006]

# REPLY TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the PTO Notification of Missing Requirements Under 35 USC 371 dated July 7, 2006, submitted herewith is a Declaration and Power of Attorney for the above application executed by the inventors.

Also enclosed are the PTO surcharge of \$130.00 required by 37 CFR 1.492(e), and a copy of the PTO notice.

It is respectfully submitted that the application is now complete, and early indication thereof is now requested.

Respectfully submitted,

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Yoichi KOBAYASHI et al.

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/tnt Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 July 26, 2006



000513

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
Advandra, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/568,085

Yoichi Kobayashi

20060139A

INTERNATIONAL APPLICATION NO. PCT/JP04/08787

I.A. FILING DATE

06/16/2004

PRIORITY DATE 09/10/2003

WENDEROTH, LIND & PONACK, L.L.P. 2033 K STREET N. W. **SUITE 800** WASHINGTON, DC 20006-1021

**CONFIRMATION NO. 7770** 371 FORMALITIES LETTER

\*OC000000019515396\*

Date Mailed: 07/07/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/13/2006
- Copy of the International Search Report filed on 02/13/2006
- Preliminary Amendments filed on 02/13/2006
- Information Disclosure Statements filed on 02/13/2006
- Oath or Declaration filed on 02/13/2006
- Request for Immediate Examination filed on 02/13/2006
- U.S. Basic National Fees filed on 02/13/2006
- Priority Documents filed on 02/13/2006
- Specification filed on 02/13/2006
- Claims filed on 02/13/2006
- Abstracts filed on 02/13/2006
- Drawings filed on 02/13/2006

RECEIVE.

JUL 11 2006

WENDEROTH, LIND & PONACK

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/568,085	PCT/JP04/08787	20060139A

FORM PCT/DO/EO/905 (371 Formalities Notice)

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## PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration ......\$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

07/31/2006 GFREY1 00000037 10568085

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130.00 OP

Respectfully submitted,

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE REES FOR THIS PAPER TO DEPOSIT **ACCOUNT NO. 23-0975** 

Yoichi KOBAYASHI et al

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/tnt WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 July 26, 2006

[Check No. \_15330

2006\_0139A